The Role of Large-Coherent-Eddy Transport in the

- Atmospheric Surface Layer Based on CASES-99
- **Observations** 3

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Abstract The analysis of momentum and heat fluxes from the Cooperative Atmosphere-Surface Exchange Study 1999 (CASES-99) field experiment is extended throughq out the diurnal cycle following the investigation of nighttime turbulence by Sun 10 et al. (Journal of the Atmospheric Sciences, 2012, Vol. 69, 338-351). Based on the 11 observations, limitations of Monin-Obukhov similarity theory (MOST) are exam-12 ined in detail. The analysis suggests that strong turbulent mixing is dominated by 13 relatively large coherent eddies that are not related to local vertical gradients as 14 15 assumed in MOST. The HOckey-Stick Transition (HOST) hypothesis is developed to explain the generation of observed large coherent eddies over a finite depth 16 and the contribution of these eddies to vertical variations of turbulence intensity 17 and atmospheric stratification throughout the diurnal cycle. The HOST hypothe-18 sis emphasizes the connection between dominant turbulent eddies and turbulence 19

generation scales, and the coupling between the turbulence kinetic energy and the 20

turbulence potential energy within the turbulence generation layer in determining 21

turbulence intensity. For turbulence generation directly influenced by the surface, 22

the HOST hypothesis recognizes the role of the surface both in the vertical vari-23

ation of momentum and heat fluxes and its boundary effect on the size of the 24 dominant turbulence eddies. 25

Keywords Atmospheric boundary layer · Large coherent eddies · Momentum 26 and heat fluxes \cdot Non-local turbulent mixing 27

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28 1 Introduction

For decades, Monin-Obukhov similarity theory (MOST) has been the cornerstone 29 for turbulence parametrization in the surface layer of the atmospheric boundary 30 layer (ABL), which we define as the bottom few decameters of the ABL. Departures 31 from MOST have led researchers to divide the lower ABL into several layers (Fig. 32 1); in ascending order these are the roughness sublayer, the inertial sublayer where 33 MOST is valid, and the outer layer where the effect of the surface on the airflow 34 is negligible (e.g., Garratt, 1992). Nonetheless, because MOST is the only theory 35 in use for turbulence parametrization in the surface layer, bulk formulae based on 36 MOST are often used in situations where MOST may not be applicable. 37 The relationship between mean atmospheric variables and turbulence inten-38 39 sity, which is the goal of turbulence parametrization, has recently been examined for the nighttime boundary layer by Sun et al. (2012) (henceforth S12) using the 40 month-long dataset collected from the Cooperative Atmosphere-Surface Exchange 41 Study in October 1999 (CASES-99). They found that, when turbulence is gen-42 erated by shear at night, turbulent mixing from the surface up to the highest 43 turbulence observation height of 55 m can be categorized into three regimes de-44 pending on the relationship between turbulence variables and wind speed $\overline{V}(z)$ 45 (the overbar represents a time average). The turbulence variable here can be any 46 turbulent kinetic energy (TKE) related variable, such as the square root of TKE, $V_{TKE}(z) = [(1/2)(\sigma_u(z)^2 + \sigma_v(z)^2 + \sigma_w(z)^2)]^{1/2}$, or $\sigma_w(z)$ as used in S12, where 47 48 $\sigma_u(z), \sigma_v(z)$, and $\sigma_w(z)$ are the standard deviations of the zonal, meridional, 49 and vertical wind components. There are two dominant regimes—a weak and a 50 strong turbulence regime—separated by a threshold averaged wind speed, $\bar{V}_s(z)$, 51 at a given height z; $V_{TKE}(z)$ increases linearly with V(z) in the strong turbu-52 lence regime, and is weakly correlated but still mostly increasing with V(z) in 53 the weak turbulence regime. As the plot of the dramatic transition between the 54 weak and strong turbulence regimes resembles a hockey stick, Sun et al. (2015) 55 referred to this as the HOckey-Stick Transition (HOST). The observations in S12 56 clearly demonstrate that dominant turbulent eddies have a finite length scale δz 57 and turbulence generation is related to shear, $\delta \bar{V}(z)/\delta z$, as opposed to a scale 58 derived from the local derivative $\partial \bar{V}(z)/\partial z$. In the strong turbulence regime, the 59 dominant turbulence eddies scale with z, indicating that they are generated by 60 bulk shear $\overline{V}(z)/z$, i.e., $\delta z = z$. As the stability increases, the size of the dominant 61 turbulence eddies gradually becomes less than z but finite, indicating that they 62 are generated by $\delta \bar{V}(z)/\delta z$ rather than $\partial \bar{V}(z)/\partial z$ as assumed for all stability con-63 ditions in MOST. In other words, large eddies with a scale δz that predominantly 64 contribute to turbulence intensity are not governed by $\partial V(z)/\partial z$, especially under 65 neutral conditions. In addition to the weak and strong turbulence regimes, occa-66 sionally relatively strong turbulence can be transported downward to height z even 67 if $\overline{V}(z) < \overline{V}_{s}(z)$, which is the third regime in S12. Overall, the importance of S12 68 is its documentation of the contribution of coherent eddies of finite scales to tur-69 bulent mixing. Here coherent eddies refer to large eddies that efficiently transport 70 momentum and heat. 71

The general concept of turbulent mixing across finite scales above the surface, also referred to as non-local mixing, has been previously discussed by, for example,

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 ⁷⁴ Stull (1984), Högström et al. (2002), Zilitinkevich (1995), and Zilitinkevich et al.

⁷⁵ (2006). Large rolls in the convective ABL have also been observed to transport

⁷⁶ momentum and heat, as reviewed in Etling and Brown (1993). The new idea from

 $\scriptstyle 77$ $\,$ the observations in S12 is that the scale of eddies responsible for turbulent mixing

⁷⁸ under near-neutral conditions is well-defined and related to the local wind speed in

⁷⁹ the surface layer. As the atmospheric stability increases, the scale of the dominant

⁸⁰ eddies decreases, but remains finite.

The relationship between strong winds and strong turbulence has been noted 81 previously (e.g., King et al., 1994; Acevedo and Fitzjarrald, 2003). Since the pub-82 lication of S12, HOST has been confirmed at various sites (e.g., van de Wiel et al., 83 2012; Mahrt et al., 2013, 2015; Martins et al., 2013; Bonin et al., 2015). van de Wiel 84 et al. (2012) found that the net radiation is also a factor in determining $V_s(z)$. By 85 86 comparing observations from three field experiments, Mahrt et al. (2013) suggested 87 that $V_s(z)$ might decrease with increasing surface roughness. Recently, Mahrt et al. (2015) found that although HOST is characterized by the dramatic variation of 88 turbulence intensity with $\overline{V}(z)$, the vertical potential temperature difference be-89 tween the observation height and the surface also plays a role in $\bar{V}_s(z)$. 90 In this paper, after discussing the observations in Sect. 2, we examine MOST 91

in detail in Sect. 3. We then further extend the nighttime analysis in S12 and
include analyses of daytime turbulence generation, and the transport of momentum and heat (Sect. 4). In Sect. 5, we investigate vertical variations of daytime
and nighttime momentum and heat fluxes in comparison with the MOST bulk
formulae. Section 6 is a summary, where we generalize the explanation for the observed diurnal and vertical variation of momentum and heat fluxes as the HOST
hypothesis.

99 2 Observations

The dataset used herein was obtained from 60-m tower measurements during 100 CASES-99 (Poulos et al., 2002; Sun et al., 2002, 2013). Three-dimensional sonic 101 anemometers were installed at heights of 10 m, 20 m, 30 m, 40 m, 50 m, and 55 102 m above the ground on the 60-m tower. Two additional sonic anemometers were 103 installed at 1.5 m and 5 m above the ground on a 10-m tower, 10 m east of the 104 60-m tower to avoid flow distortion from the base of the 60-m tower. The obser-105 vations referred to in this study as 60-m tower observations actually came from 106 both towers. The lowest sonic anemometer was moved to the 0.5-m level from 1.5 107 m on 20 October. In addition to the eight sonic anemometers, the wind speed and 108 direction were also measured by prop-vanes at heights of 15 m, 25 m, 35 m, and 109 45 m. Air temperature was measured by Väisälä temperature/humidity sensors at 110 six levels (Sun et al., 2002) and by 34 thermocouples with a vertical spacing of 1.8 111 m from 2.3 m to 58.1 m height on the 60-m tower, and also at 0.23 m and 0.63 m112 on two adjacent posts, which were about 1 m from the 60-m tower. Net radiation, 113 R_{net} , was measured by net radiometers at six satellite stations surrounding the 114 60-m tower, and was used to quantify the thermodynamic effect of the surface on 115 turbulent mixing. 116

The sonic anemometer data were corrected for instrument axis misalignment using the method proposed by Wilczak et al. (2001) over the entire field experiment period (Sun, 2007). The difference between the corrected and uncorrected data is

¹²⁰ not significant.

Turbulent momentum fluxes are expressed as $u_*(z) = [\overline{w'u'}^2(z) + \overline{w'v'}^2(z)]^{1/4}$. 121 where the prime of a variable represents a fluctuation from its time average. 122 Similarly, the vertical potential temperature flux, which we refer to as the kine-123 matic heat flux, $\overline{w'\theta'}(z)$, is expressed through the temperature scale, $\theta_*(z) \equiv$ 124 $-w'\theta'(z)/u_*(z)$, where θ is the potential temperature. We use the sonic temper-125 ature for turbulence statistics involving virtual temperature since the difference 126 between them has negligible impact on the statistics because of the relatively low 127 humidity. Turbulence variables at the lowest measurement level, which is 1.5 m 128 before 20 October and 0.5 m afterward, are used to represent turbulence at the 129 surface, and are denoted by the subscript 0. For example, the Obukhov length is 130 $L \equiv \theta_0 u_{*0}^2 / (\kappa g \theta_{*0})$, where g is the acceleration due to gravity, and κ is the von 131 Kármán constant. The aerodynamic roughness length $z_m = 0.05$ m (defined in 132 Sect. 3) is obtained using the high wind-speed data only (Sun, 2011). The near-133 surface $\bar{\theta}_0$ value is obtained from the lowest thermocouple measurement at 0.23 134 135 m.

Momentum and heat fluxes are calculated from Haar wavelet cospectra inte-136 grated over 30-min segments (Howell and Mahrt, 1994; Howell, 1995; Howell and 137 Sun, 1999). To avoid "random" temporal influences of submess disturbances on 138 turbulent fluxes especially under stable conditions (Mahrt, 2009), the lowest fre-139 quency at which cospectra contributing to fluxes for each segment are well-behaved 140 is determined for each segment by visual inspection. Because the averaged relation-141 ship between turbulent fluxes and mean variables using the 30-min dataset closely 142 agrees with that using the 5-min dataset when turbulence variables are calculated 143 using unweighted block averages from the 5-min segments, we focus on the 30-min 144 flux data and use the 5-min flux data to increase the number of overall data points. 145 Under near-neutral conditions, the turbulent momentum fluxes are almost entirely 146 due to the along-wind turbulent momentum fluxes, $w'V'_{along}(z) \approx w'V'(z)$, where 147 $V(z) = \sqrt{u^2(z) + v^2(z)}$ and $V_{along}(z)$ is the wind speed in the wind direction. In 148 the 5-min dataset, 24% of the periods have |L| > 100 m, i.e., near-neutral; 29% 149 have -100 m < L < 0, i.e., unstable; and 47% have 0 < L < 100 m, i.e., stable. 150

All twelve levels of wind measurements at a given time are used to calculate the 151 local shear $\partial \bar{V}(z)/\partial z$ as described in Sun (2011). In brief, the wind speeds at three 152 measurement levels—one at height z, one below, and one above— are fitted with a 153 log-linear function of z, and local shear is calculated using this locally-fitted wind 154 profile. Although $|\partial \bar{\mathbf{V}}(z)/\partial z| \geq \partial \bar{V}(z)/\partial z$, where $\partial \bar{\mathbf{V}}(z)/\partial z$ and $\partial \bar{V}(z)/\partial z$ denote 155 a vector and a speed shear, respectively, the two differ only when wind speed is low 156 as the vertical variation of wind direction is almost eliminated by strong mixing 157 associated with strong winds. We use $\partial V(z)/\partial z$ here to focus comparison between 158 MOST and observations under strong mixing conditions as the weak wind regime 159 is beyond the scope of this study because of the difficulty in estimating δz . Thus 160 the local gradient Richardson number is $Ri(z) = (g/\bar{\theta}_0)[\partial\bar{\theta}(z)/\partial z]/[(\partial\bar{u}(z)/\partial z)^2 + (\partial\bar{v}(z)/\partial z)^2] \approx (g/\bar{\theta}_0)[\partial\bar{\theta}(z)/\partial z]/[\partial\bar{V}(z)/\partial z]^2.$ 161 162

¹⁶³ All times are UTC, which is 6 h ahead of local standard time. Daytime and ¹⁶⁴ nighttime are defined when R_{net} switches sign. The nighttime defined by zero ¹⁶⁵ downward solar radiation is slightly longer than the one defined by R_{net} at the ¹⁶⁶ site, which does not affect the conclusions.

167 **3 MOST**

¹⁶⁸ Parametrizing vertical turbulent momentum transport based on vertical wind

shear can be traced back to Boussinesq (1877), who assumed that the formulation for turbulent mixing is similar to that for molecular diffusion. Later, Prandtl (1925)

introduced the concept of a mixing length l(z), where $l(z) = u_*(z)/[\partial \bar{V}(z)/\partial z]$.

¹⁷² Von Kármán (1930), who was Prandlt's student, found that near the surface, ¹⁷³ $l(z) = \kappa z$.

Obukhov (1946) and Monin and Obukhov (1954) recognized the influence of the stability on l, and introduced a stability function Φ such that $l(z, Ri) = l_n(z)\Phi(Ri)$, where the subscript n represents the neutral condition, and $l_n(z) \equiv \kappa z$. In addition, based on the assumed analogy between momentum and heat transfer, Monin

and Obukhov extended their relation for momentum transfer to heat transfer (Mc-Naughton, 2009). The well-known MOST equations were originally expressed as

180 functions of *Ri*, i.e.,

$$\frac{\kappa z}{u_{*0}} \frac{\partial \bar{V}(z)}{\partial z} = \Phi_m(Ri),\tag{1}$$

$$\frac{\kappa z}{\theta_{*0}} \frac{\partial \bar{\theta}(z)}{\partial z} = \Phi_h(Ri), \tag{2}$$

- where Φ_m and Φ_h are the stability functions for momentum and heat, respectively,
- and need to be determined from observations (e.g., Högström, 1996).
- The non-dimensional ratio z/L is related to Ri using Eqs. 1 and 2 as

$$Ri(z) = (z/L) \ \Phi_h / \Phi_m^2. \tag{3}$$

As L represents a length scale associated with turbulence, the ratio z/L can be 184 seen as a measure of the turbulence eddy scale relative to z. The atmosphere 185 is considered neutral when $|z/L| \approx 0$, i.e., $|L| \rightarrow \infty$. In practice, the neutral 186 ABL is commonly associated with |L| > 100 m. A small |z/L| value could result 187 from a very large |L| or a near-zero z and a not-very-large L, suggesting that 188 the atmospheric stability near the surface is always near-neutral, and not easily 189 influenced by L variations. In contrast, for large z, z/L is more sensitive to L 190 191 variations.

¹⁹² Using the concept of the mixing length, Eqs. 1 and 2 can be alternatively ¹⁹³ expressed as

$$l_m(z, z/L) \equiv u_{*0} / \frac{\partial \bar{V}(z)}{\partial z} = l_{mn}(z) / \Phi_m(z/L) = \kappa z / \Phi_m(z/L), \qquad (4)$$

$$l_h(z, z/L) \equiv \theta_{*0} / \frac{\partial \theta(z)}{\partial z} = l_{hn}(z) / \Phi_h(z/L) = \kappa z / \Phi_h(z/L),$$
(5)

where l_m and l_h are the mixing lengths for momentum and heat, respectively. Equations 4 and 5 clearly show that l_m and l_h at a given z under any stability condition are equal to their neutral values at z, $l_{mn}(z)$ and $l_{hn}(z)$, modified by their corresponding stability functions. Under the influence of the surface, the neutral mixing length is proportional to z, i.e., $l_{mn} = l_{hn} \equiv \kappa z$, which determines the vertical validity of MOST.

Assuming $u_*(z)$ and $\theta_*(z)$ are approximately constant with height near the surface, $u_*(z)$ and $\theta_*(z)$ within this layer, which are expressed as u_{*0} and θ_{*0} , respectively, can be formulated in terms of mean variables $\bar{V}(z)$ and $\bar{\theta}(z)$ by vertically integrating Eqs. 1 and 2. The vertically integrated formulae, i.e., the bulk

204 relation, are then

$$\overline{w'V'}_0 \equiv u_{*0}^2 = C_d(z)\overline{V}(z)^2,$$
(6)

$$\overline{w'\theta'}_0 \equiv -u_{*0}\theta_{*0} = -C_h(z)\overline{V}(z)[\overline{\theta}(z) - \overline{\theta}_0] = -C_h(z)\overline{V}(z)\Delta\overline{\theta}(z), \qquad (7)$$

which are widely used for parametrizing near-surface turbulence in numerical models. In Eqs. 6 and 7, C_d and C_h are the drag coefficient and the exchange coefficient

els. In Eqs. 6 and 7, C_d and C_h are the drag for heat, respectively, and are expressed as

$$C_d(z) = \frac{\kappa^2}{[\ln(z/z_m) - \Psi_m(z/L)]^2},$$
(8)

$$C_h(z) = \frac{\kappa^2}{[\ln(z/z_m) - \Psi_m(z/L)][\ln(z/z_h) - \Psi_h(z/L)]},$$
(9)

where z_m and z_h are the aerodynamic roughness length and the roughness length for heat, and Ψ_m and Ψ_m are the vertically integrated Φ_m and Φ_h , respectively. Later in Sect. 5 we use the stability functions presented in Beljaars and Holtslag (1991). Note that the approximate constancy of $u_*(z)$ and $\theta_*(z)$ is not required for Eqs. 1 and 2 except in the surface layer for deriving the bulk formulae. Mathematically z_m and z_h are fitted parameters such that the observed momentum and heat fluxes satisfy Eqs. 6 and 7, respectively (Sun and Mahrt, 1995).

The validity of MOST clearly relies on the implicit assumptions of stationarity 215 and horizontal homogeneity near the surface as well as the explicit assumptions 216 listed above. Non-stationarity results in scatter in the relationships between the 217 stability functions and the stability parameters Ri or z/L in MOST (e.g., Mahrt, 218 2007). By examining the vertical variation of l_{mn} and l_{hn} using the CASES-99 219 dataset, Sun (2011) found that the layer where MOST is valid is approximately 220 between 0.5 m and 10 m during CASES-99. This depth increases with wind speed 221 (Peña et al., 2010), i.e., if wind speed were greater than that observed during 222 CASES-99, the upper height for the validity of MOST would be > 10 m. Com-223 monly, MOST is assumed approximately valid in the bottom 10% of the ABL layer 224 but above the roughness sublayer (Sect. 1), which is substantially different from 225 the observed depth for MOST during CASES-99 (more in Sect. 5). 226

Another important issue regarding MOST is self-correlation that occurs in de-227 termining Φ_m and Φ_h . Although the issue has been studied, for example, by Hicks 228 (1978), Andreas and Hicks (2002), Klipp and Mahrt (2004), Baas et al. (2006), and 229 Mahrt (2007, 2008), a clear example is provided here using the CASES-99 dataset 230 because self-correlation is still often ignored in the research community. Due to 231 the common factor $\partial \bar{\theta}(z)/\partial z$ in both Φ_h and Ri, the well-known relationship be-232 tween Φ_h and Ri can be reproduced by even randomly generated $\partial \theta(z) / \partial z$ (Fig. 233 2a), which clearly illustrates that Φ_h is strongly influenced by its self-correlation 234 with Ri. However, self-correlation may not always artificially enhance the actual 235 physical relationship between turbulence intensity and mean variables as in the re-236 lationship between Φ_h and R_i ; it can sometimes undermine it as in the relationship 237 between Φ_m and Ri (Fig. 2b). Thus, it is important to examine non-dimensional 238 relationships by, for example, replacing common parameters in both the abscissa 239 and ordinate with randomly generated numbers as in Fig. 2. 240

²⁴¹ 4 Observed relationships between mean and turbulent variables

Here we investigate how daytime and nighttime momentum and heat fluxes vary 242 with height over the relatively deep tower layer during CASES-99. Both atmo-243 spheric stratification and shear affect turbulence intensity, and the two factors are 244 related. To clarify the concept, which is essential for explaining the observed tur-245 bulent mixing using mean variables, we first describe the evolution of the vertical 246 temperature gradient and its relationship with turbulent mixing in the ABL even 247 though it may seem to be elementary. To simplify the discussion here, we ignore 248 heating and cooling in the ABL due to, e.g., aerosols and clouds (Sun et al., 2003). 249

Because surface heating and cooling are the main heat source and sink for 250 the diurnal variation of the ABL, vertical variations of temperature in the ABL 251 mainly result from the vertical redistribution of warm/cold air from the surface by 252 turbulent mixing. Therefore, temperature profiles in the tower layer mainly depend 253 on the generation of warm or cold air through molecular thermal conduction or 254 diffusion in a relatively thin layer adjacent to the surface and turbulent transfer 255 above the molecular diffusion layer. Longwave radiative cooling at the surface 256 provides the cold air source to the ABL at night (e.g., Sun et al., 1995, 2003); solar 257 radiative heating at the surface provides the warm air source to the ABL during 258 daytime. The air cooled by molecular diffusion adjacent to the surface is mixed 259 upward by shear-driven turbulence and replaced by warmer air from above. The 260 air warmed by molecular diffusion adjacent to the surface spontaneously generates 261 convection since it is lighter than the overlying air. The evolving balance between 262 turbulent mixing from above and molecular diffusion from below leads to a range 263 of air-surface temperature differences. 264

Turbulence can be generated by either shear or positive buoyancy at the sur-265 face, but the two processes affect the vertical temperature gradient differently. 266 Shear-generated turbulent mixing is a mechanical mixing, which leads to a more 267 uniform temperature in the mixing domain. This mixing can increase the verti-268 cal temperature gradient above the thin molecular diffusion layer once the mixing 269 starts to transport the cold air accumulated in the thin layer near the surface up-270 ward. If the mixing increases and persists, it can reduce the vertical temperature 271 gradient once the cold air supply from molecular diffusion becomes less than the 272 vertical distribution of the cold air by the mixing. In contrast, surface positive 273 buoyancy generates turbulence thermally through temperature decreasing with 274 height. 275

The vertical gradient of potential temperature can vanish throughout the diur-276 nal cycle if shear-generated turbulence dominates the mixing in the daytime and 277 is sufficiently strong at night. Thus, the nighttime ABL is not necessarily stably 278 stratified, and the daytime ABL is not necessarily unstably stratified. Because 279 the maximum $|R_{net}|$ is much smaller at night than during daytime, near-neutral 280 conditions near the surface can more often form at night than during daytime for 281 a given high wind speed. The month-long observations during CASES-99 indicate 282 that the vertical $\theta(z)$ gradient near the surface can be reduced to nearly zero at 283 night but not readily during daytime unless R_{net} is small or wind speed is very 284 high (Fig. 3). The correlation between turbulent mixing and the vertical tempera-285 ture gradient is important in understanding vertical variations of momentum and 286

²⁸⁷ heat fluxes in the ABL.

4.1 Nighttime momentum and heat fluxes 288

The nighttime relationship between $V_{TKE}(z)$ (defined in Sect. 1) and wind speed 289

 $\overline{V}(z)$ has been investigated by S12. Because $u_*(z)$ is well correlated with $V_{TKE}(z)$, 290

the nighttime $u_*(z)$ in Figs. 4a and 4b has HOST behaviour similar to $V_{TKE}(z)$. 291 Here we focus on the effect of the bulk potential temperature difference $\Delta \theta(z)$

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$$\Delta \bar{\theta}(z) = \int_{z=0.23m}^{z} \frac{\partial \theta(z)}{\partial z} dz = \bar{\theta}(z) - \bar{\theta}_{0}, \qquad (10)$$

on $u_*(z)$ in the HOST, which was not discussed in detail in S12. When V(z) > 0293 $\bar{V}_s(z)$ (defined in Sect. 1), large $u_*(z)$ is generated by $\bar{V}(z)/z$, and large eddies with 294 scale z lead to a well-mixed turbulent layer with a small $\Delta \theta(z)$ (e.g., the wind and 295 potential temperature profiles shown in red in Figs. 4c and 4d). In other words, 296 the atmosphere near the surface can reach near-neutral conditions just as if R_{net} 297 were near zero (more in Sect. 5). When $\bar{V}(z) < \bar{V}_s(z)$, small $u_*(z)$ at height z leads 298 to a shallow mixing layer with its depth determined by the vertical length scale of 299 the shear, $\delta z < z$ as documented by S12 (e.g., the wind and potential temperature 300 profiles shown in green in Figs. 4c and 4d). That is, turbulent eddies at height z301 do not reach the surface. In this situation, cold air near the surface cannot directly 302 reach height z, resulting in a large $\Delta \bar{\theta}(z)$. A large fraction of nighttime turbulent 303 mixing is weak (Fig. 5a). 304

Because of the dependence of $\Delta \overline{\theta}(z)$ on turbulent mixing, the relationship 305 between $u_*(z)$ and $\Delta \bar{\theta}(z)$ depends on the turbulence regime. When $\bar{V}(z) < \bar{V}_s(z)$, 306 $u_*(z)$ varies between zero and $u_{*s}(z)$, where $u_{*s}(z)$ is $u_*(z)$ at $\overline{V}(z) = \overline{V}_s(z)$ (Figs. 307 4a and 4b). When $\bar{V}(z) > \bar{V}_s(z)$, $\Delta \bar{\theta}(z)$ is reduced by turbulent mixing, and $u_*(z)$ 308 does not vary much with $\Delta \bar{\theta}(z)$. Because $\Delta \bar{\theta}(z)$ represents a vertically integrated 309 potential temperature difference, $|\Delta \theta(z)|$ generally increases with z even when 310 $|\partial \theta(z)/\partial z|$ is small, which is reflected in the observed $\Delta \theta(z) < 2$ K at 10 m and 311 < 1 K at 5 m in Figs. 4a and 4b, respectively. Naturally the relationship between 312 $u_*(z)$ and $\Delta \theta(z)$ also depends on the history or non-stationarity of turbulent 313 mixing because $\Delta \theta(z)$ reflects the consequence of turbulent heat transfer and the 314 build-up of the cold air near the surface over a period of time. 315

Because $\theta_*(z)$ is traditionally studied as a function of the vertical potential 316 temperature gradient, $\partial \bar{\theta} / \partial z$, here we compare the dependence of $\theta_*(z)$ on $\Delta \bar{\theta}(z)$ 317 and $\overline{V}(z)$ at 5 m (Fig. 6); similar results can be found at the other levels (Fig. 318 7). Under nighttime stable conditions, the observed $\theta_*(z)$ is better correlated with 319 $\overline{V}(z)$ than with $\Delta \overline{\theta}(z)$ (Fig. 6) and $\partial \overline{\theta}/\partial z$ (not shown). This indicates that un-320 der stable conditions turbulent mixing affects temperature variances more than 321 thermal stratification does. 322

When $\bar{V}(z) < \bar{V}_s(z)$ and $\Delta \bar{\theta}(z)$ is relatively large, $\theta_*(z)$ is limited by vertical 323 velocity fluctuations, which are directly related to $\overline{V}(z)$ (Fig. 6a), resulting in $\theta_*(z)$ 324 being mainly dependent on $\overline{V}(z)$ instead of $\Delta \overline{\theta}(z)$ (inset in Fig. 6b). For small z, 325 $\theta_*(z)$ approximately linearly increases with $\bar{V}(z)$ when $\bar{V}(z) < \bar{V}_s(z)$ (Fig. 7a); for 326 large z, $\theta_*(z)$ remains near-zero when $\bar{V}(z) \ll \bar{V}_s(z)$ as turbulent eddies at height 327 z do not reach the surface, and increases sharply with $\bar{V}(z)$ when $\bar{V}(z) \rightarrow \bar{V}_s(z)$. 328 When $V(z) > V_s(z)$, strong turbulent mixing leads to the rapid reduction of 329 the vertical potential temperature gradient (Figs. 4a and 4b), leading to the sharp 330

decrease of $\theta_*(z)$ with V(z) (Figs. 6a and 7a). As demonstrated in S12, $\theta_*(z)$ 331

reaches its maximum value, $\theta_*^{max}(z)$, at $\bar{V}_s(z)$. Similar close relationships between $u_*(z)$ and scalar fluxes of, e.g., ozone, carbon dioxide, and water vapour have been observed by, for example, Sun and Massman (1999).

On a given night, $\theta_*^{max}(z)$ is influenced by the vertical temperature difference 335 between the residual layer affected by the proceeding daytime heating and the near-336 surface layer resulting from the surface radiative cooling and molecular diffusion 337 in transferring heat across the air-land interface. When the afternoon heating is 338 reduced by clouds and the wind speed is high at night, $\Delta \bar{\theta}(z)$ is relatively small, 339 leading to a relatively small $\theta_*^{max}(z)$. During CASES-99, the maximum downward 340 solar radiative flux was mostly around 700 W m⁻² except for 16 October when it 341 reached about 400 W m⁻². In addition, $\overline{V}(z)$ was relatively large for this night. As 342 a result, the decrease of $\theta_*(z)$ with $\bar{V}(z)/\bar{V}_s(z)$ from this night is shifted below the 343 $\theta_*(z) \cdot \bar{V}(z)/\bar{V}_s(z)$ relationship for the other nights (red dots in Fig. 6 are primarily 344 from the night of 16 October). The relationship between $\theta_*(z)$ and $\Delta \bar{\theta}(z)$ when the 345 positive night ime $\Delta \bar{\theta}(z)$ approaches zero, i.e., the neutral condition, is consistent 346 with its relationship when the negative daytime $\Delta \bar{\theta}(z)$ approaches zero (more in 347 in Sect. 4.3). 348

Because $\theta_*(z)$ and $u_*(z)$ have opposite dependency on $\bar{V}(z)$ when $\bar{V}(z) > \bar{V}_s(z)$ 349 and a similar dependency on $\bar{V}(z)$ when $\bar{V}(z) < \bar{V}_s(z)$ (Figs. 4a, 4b, and 7a), the 350 nighttime $|\overline{w'\theta'}(z)|$ increases with $\overline{V}(z)$ until it reaches a maximum value. The 351 nighttime maximum downward $\overline{w'\theta'}(z)$ does not occur at $\overline{V}_s(z)$ as $\theta_*^{max}(z)$ does, 352 but at $\bar{V}_{maxH}(z) > \bar{V}_s(z)$ (Fig. 7b). Because the rate of the $\theta_*(z)$ decrease with 353 $\bar{V}(z)$ increases with z for $\bar{V}(z) > \bar{V}_s(z)$ (Fig. 7a), $\bar{V}_{maxH}(z)$ approaches $\bar{V}_s(z)$ as 354 z increases (Figs. 5a and 7a). This maximum downward heat flux at night has 355 also been observed by, for example, Mahrt et al. (1998), and van de Wiel et al. 356 (2007, 2012); our observations indicate that its occurrence is not only a function 357 of stability, but also of height z. 358

359 4.2 Threshold wind speed

The role of stable stratification in turbulent mixing has been extensively investi-360 gated, and $\partial \theta(z)/\partial z$ is commonly believed to be the key variable in determining 361 turbulence intensity as evidenced in numerical and laboratory experiments (e.g., 362 Hopfinger, 1987; Lindborg, 2006). Because buoyancy fluxes are associated with 363 both TKE and turbulent potential energy (TPE, which is also called available po-364 tential energy by Holliday and McIntyre (1981)), where $TKE = (1/2)(\overline{V'^2} + \overline{w'^2})$, 365 $TPE = (1/2)[g/(T_0N)]^2 \overline{(\theta')^2}$ (Zilitinkevich et al., 2007), N is the Brunt-Väisälä 366 frequency, and T_0 is a reference air temperature, shear-generated turbulence in 367 a stably stratified flow can result in variations of both TKE and TPE through 368 buoyancy fluxes (Ostrovsky and Troitskaya, 1987). According to Zilitinkevich et al. 369 (2007), the total turbulence energy (TTE = TKE + TPE) can be achieved by 370 combining the TKE and TPE equations through cancellation of buoyancy fluxes 371 in both equations, resulting in, 372

$$\frac{D\ TTE}{Dt} + \frac{\partial\Phi_T}{\partial z} = -\overline{w'V'}\frac{\partial\bar{V}(z)}{\partial z},\tag{11}$$

where Φ_T is the vertical flux of TTE, i.e., $\Phi_T = \Phi_K + [g/(T_0N)^2]\Phi_\theta$, $\Phi_K \equiv (1/\bar{\rho}) \overline{p'w'} + (1/2) \overline{V'^2w'}$ (ρ is the air density, and p is the air pressure) and

 $\Phi_{\theta} = (1/2) \ \overline{(\theta')^2 w'}$. Note that all the terms in Φ_T are third-moment except $\overline{p' w'}$. 375 Equation 11 indicates that if $\partial \Phi_T / \partial z$ is relatively small, the turbulence shear 376 production on the right side of Eq. 11 controls the variation of TTE. For a flow 377 with a given turbulence shear production and negligible $\partial \Phi_T / \partial z$ (e.g., Lenschow 378 et al., 1988), TKE of the flow would be reduced if imposed stable stratification 379 on the flow increases because more turbulence energy is used to increase TPE as 380 shown in both laboratory and numerical simulations (e.g., Lin and Pao, 1979). If 381 the stable stratification is reduced, TKE would increase with a given shear. That 382 is, TKE and TPE are dynamically coupled, thus momentum and heat fluxes are 383 connected. Stable stratification does not eliminate TKE but converts TKE to 384 TPE as shown in Eq. 11. 385

The nighttime observed dependence of $u_*(z)$ and $\theta_*(z)$ on $\overline{V}(z)$ and $\Delta \overline{\theta}(z)$ 386 confirms the close connection between TKE and TPE through turbulent mixing 387 generated by shear. When V(z) is small, the vertical redistribution rate of cold 388 air through weak turbulent mixing is mainly near the surface while the air tem-389 perature at greater heights is not affected. As a result, $\Delta \bar{\theta}(z)$ can increase if $\bar{\theta}_0$ 390 in Eq. 10 decreases (Fig. 4d). Once the turbulence mixing is sufficiently strong, 391 the large coherent eddies dominating transport grow to scale with z, and the ver-392 tical potential temperature gradient below z is significantly reduced by turbulent 393 mixing because the cold-air generation process is slow compared to the turbulent 394 heat transport. Therefore the observed HOST in S12 (Fig. 4) essentially indicates 395 that when $\bar{V}(z) < \bar{V}_s(z)$, the increase of TKE near the surface is constrained by 396 the energy used for increasing TPE through the buoyancy flux; thus TKE does 397 not increase significantly with increasing $\bar{V}(z)$. When $\bar{V}(z) > \bar{V}_s(z)$, the vertical 398 potential temperature gradient below z is effectively eliminated by large turbulent 399 eddies with scale z, and shear generates TKE directly without being consumed 400 to increase TPE. This changing TPE consumption mechanism as V(z) exceeds 401 $\bar{V}_s(z)$ leads to a dramatic increase of TKE with $\bar{V}(z)$. Therefore, $\bar{V}_s(z)$ repre-402 sents a statistically-averaged $\bar{V}(z)$ associated with the critical shear at z; when 403 $\bar{V}(z) > \bar{V}_s(z)$, the vertical potential temperature gradient in the layer between 404 the surface and z can be virtually eliminated. In other words, even though $\overline{\theta'}^2$ 405 $\theta_*(z)$, and $\overline{w'\theta'}$ may not be zero for $\overline{V}(z) > \overline{V}_s(z)$, the turbulence energy used 406 for increasing TPE is considerably reduced, leading to a significant increase with 407 V(z) in variables related to TKE, such as $V_{TKE}(z)$, $\sigma_w(z)$, and $u_*(z)$. 408

The strong dependence of any TKE-related variable on $\overline{V}(z)$ and weak depen-409 dence on the vertical potential temperature gradient in HOST is simply due to the 410 fact that coherent eddies with finite scales contribute significantly to turbulence 411 mixing, and turbulent mixing shapes the vertical potential temperature gradient. 412 In other words, because the vertical potential temperature gradient and turbulence 413 intensity are dynamically coupled, the wind-speed variation in the HOST of any 414 TKE-related variable naturally separates the stable regime for $\bar{V}(z) < \bar{V}_s(z)$ and 415 the near-neutral regime for $\bar{V}(z) > \bar{V}_s(z)$. 416

As the energy required for eliminating the vertical potential temperature gradient in the layer between the surface and height z increases with the depth of the layer, $\bar{V}_s(z)$ increases with z. To capture the variation of turbulence intensity dominated by large coherent eddies on the scale of z, factors affecting the TTE balance within the layer between the surface and height z, including turbulence shear-generation within the layer and boundary conditions, need to be considered. Therefore, the HOST of any TKE-related variable can be affected by surface

⁴²⁴ roughness and effective surface heating/cooling (more in Sect. 5). The surface ef-⁴²⁵ fect on HOST has been observed by van de Wiel et al. (2012), Mahrt et al. (2013),

426 and Mahrt et al. (2015).

S12 demonstrated that the mixing length based on the local momentum and 427 heat fluxes increases dramatically when V(z) undergoes transition from V(z) < 0428 $\bar{V}_s(z)$ to $\bar{V}(z) > \bar{V}_s(z)$, suggesting that the increase of $u_*(z)$ dominates the in-429 crease of L because $u_*(z)$ increases linearly with V(z) and $\theta_*(z)$ decreases with 430 $\bar{V}(z)$; $\bar{V}_s(z)$ essentially separates the near-neutral from the stably-stratified night-431 time surface layer. Even though technically the neutral atmosphere corresponds to 432 $|L| \to \infty$, which can only be approximated in the atmosphere under strong winds, 433 characteristics of turbulent mixing in the strong turbulence regime are similar 434 to the neutral atmosphere. The small vertical potential temperature gradient for 435 $\overline{V}(z) > \overline{V}_s(z)$ changes only slightly with increasing $\overline{V}(z)$. 436

437 4.3 Daytime momentum and heat fluxes

During daytime, turbulence generation is dominated by positive buoyancy from 438 the heated surface with an additional contribution from shear as observed by, for 439 example, Williams and Hacker (1992). Large coherent eddies generated by positive 440 buoyancy from the heated surface contribute to the heat flux as well as to the 441 momentum flux, which enhances $u_*(z)$ compared to the nighttime HOST (Fig. 442 8) except at z = 0.5 m (more in Sect. 4.5). Because of the vertical momentum 443 transfer over a relatively deep layer by large coherent eddies generated by positive 444 buoyancy fluxes, V(z) is enhanced near the surface and reduced above, which 445 explains the significantly greater fraction of $\overline{V}(z) > \overline{V}_s(z)$ points for z < 20 m 446 than for z > 20 m (Fig. 5b). Here we only use $\overline{V}_s(z)$ as a reference for a relatively 447 high $\bar{V}(z)$ at each z because $\bar{V}_s(z)$ determines the transition between the stably-448 stratified and near-neutral nighttime turbulence regimes as described in Sect. 4.2. 449 Because buoyancy flux is related to R_{net} , the enhancement of $u_*(z)$ by buoyancy 450 flux can be clearly viewed in the increase of $u_*(z)$ with $\overline{V}(z)$ as a function of R_{net} 451 (Fig. 9). Furthermore, Fig. 9 indicates that the buoyancy enhancement of $u_*(z)$ 452 is significant except at z = 0.5 m (more in Sect. 4.5) or when $\overline{V}(z)$ is large. The 453 influence of R_{net} on $u_*(z)$ becomes more significant with increasing z especially 454 when the wind speed is low. For example, the greatest increase of $u_*(z)$ with R_{net} 455 is for $\bar{V}(z) < 2 \text{ m s}^{-1}$ at 55 m. Also, the change of $u_*(z)$ with R_{net} decreases with 456 increasing R_{net} for a given wind-speed range. 457

In contrast to the nighttime $\theta_*(z)$, daytime $\theta_*(z)$ is strongly correlated with 458 $\Delta \bar{\theta}(z)$, but not so much with $\bar{V}(z)$ (not shown) when positive surface buoyancy 459 is the driving force for turbulent mixing. The observed $\theta_*(z) - \Delta \bar{\theta}(z)$ relationship 460 remains unchanged above ≈ 20 m, suggesting that both $\bar{\theta}(z)$ and $\theta_*(z)$ become 461 approximately invariant with z above ≈ 20 m as shown in Fig. 7d for -0.5 K 462 $<\Delta\bar{\theta}(z)<0$. The layer of constant $\theta_*(z)$ and $\bar{\theta}(z)$ above ≈ 20 m resembles the 463 atmospheric mixed layer, which is often considered to be above the bottom 10% of 464 the ABL, i.e., above about 100 m. Near the surface, both $\theta_*(z)$ and $\Delta\theta(z)$ decrease 465 sharply with z. Because $\theta_*(z)$ is actively maintained by positive buoyancy fluxes 466 during daytime, the daytime $\theta_*(z)$ is non-zero even when $\theta(z)$ is uniform for $z \ge 20$ 467 m as the large coherent eddies at z are generated by buoyancy over a layer that 468

scales with z (Sect. 4.4). The small fraction of the observed $|\theta_*(z)|$ that occurs at large $|\Delta\bar{\theta}(z)|$ and does not vary with $\Delta\bar{\theta}(z)$ is due to increasing correlation between w and θ at large $\Delta\bar{\theta}(z)$ (not shown). The observed daytime $\theta_*(z) - \Delta\bar{\theta}(z)$ relationship remains unchanged even when $\Delta\bar{\theta}(z)$ is slightly positive and wind speed is high at night, i.e., $\Delta\bar{\theta}(z) > 0$ and $\bar{V}(z) > \bar{V}_s(z)$ (Figs. 6b and 7c). Thus large coherent eddies generated by either convection or bulk shear contribute to the same $\theta_*(z) - \Delta\bar{\theta}(z)$ relationship valid for both unstable and near-neutral conditions.

476 4.4 Scale variations of turbulent eddies

The structure of large coherent eddies can be investigated through w spectra as 477 in S12, and through vertical coherences of wind and temperature between dif-478 ferent observational levels. We select three time periods to represent unstable, 479 neutral, and stable surface layers for both analyses (Figs. 10 and 11). As in S12, 480 for $\bar{V}(z) > \bar{V}_s(z)$, the normalized w spectra, $2\pi f S_w(z) / \sigma_w^2(z)$, where f is the fre-481 quency, $S_w(z)$ is the power spectrum of w, and $\sigma_w(z)$ is the standard deviation 482 of w, from different heights all reach their peak values at the same normalized 483 frequency $2\pi f z/\bar{V}(z) = 2$ (Fig. 10b). This result implies that if horizontal scales 484 of turbulence eddies are represented by their half wavelengths, the horizontal scale 485 of the dominant turbulent eddies equals z in response to the bulk shear $\overline{V}(z)/z$ 486 under the near-neutral condition. Under stable conditions when $\bar{V}(z) < \bar{V}_s(z)$, the 487 normalized w spectral peaks shift toward higher normalized frequency compared 488 to their neutral values (Fig. 10c), indicating that the scale of dominant turbulent 489 eddies decreases with increasing atmospheric stratification. Under convective con-490 ditions, the spectral peak for turbulent eddies generated by buoyancy flux shifts to 491 a frequency less than 2 (Fig. 10a), suggesting that the horizontal size of dominant 492 convective eddies is slightly larger than that under the near-neutral condition. 493

The vertical coherences of w, V, and θ between 55 m and all the underlying 494 heights of the sonic anemometers as functions of wavenumber $k = 2\pi f/V(z)$ for 495 the same three stability cases as shown in Fig. 11 provide further evidence for 496 large coherent eddies. Here the wavenumber k is calculated using $\overline{V}(z)$ at each of 497 the underlying heights, which approximates the upper limit of k if $\overline{V}(z)$ increases 498 with height. The differences in $\overline{V}(z)$ for the three stability cases account for the 499 varying wavenumber cut-off. Under the near-neutral stability when turbulence is 500 generated by strong shear (the middle column in Fig. 11), the relatively large 501 decrease of the w coherence with increasing distance between 55 m and the under-502 lying heights compared with the V coherence indicates that w varies significantly 503 in the vertical compared with V. The near-constant large vertical coherence of 504 θ at all heights reflects the similar temporal variation of θ at all heights in the 505 near-neutral condition under strong winds. 506

Under the unstable condition, the vertical coherences of w and V are even 507 larger than their neutral values at the small $k = 0.03 \text{ m}^{-1}$ for the underlying level 508 $z \ge 5$ m, while the vertical coherence of θ is smaller than its neutral value (the 509 left column in Fig. 11). These results suggest that the vertical variation of the 510 horizontal size of convective eddies is smaller under the convective condition than 511 the near-neutral condition for $z \ge 5$ m especially in the well-mixed layer above 512 20 m. The organized structure of large coherent convective eddies explains the 513 observed increase of $u_*(z)$ and $\theta_*(z)$ with increasing $\Delta \theta(z)$ in Sect. 4.3. 514

As the stable stratification increases when $\bar{V}(z) < \bar{V}_s(z)$ at night (the right 515 column in Fig. 11), the vertical coherences of w and V for large eddies become 516 smaller than their neutral values even between 55 m and 30-40 m at the smallest 517 resolved $k \approx 10^{-2} \text{ m}^{-1}$, indicating the absence of large coherent eddies under 518 stable conditions. The relatively large coherence of θ at small k and its sharp 519 decrease with increasing k suggest that θ varies significantly between heights on 520 relatively small scales due to the influence of small-scale turbulent mixing on θ 521 under the stable condition, and that the decreasing trend of θ beginning in the 522 evening and extending for the relatively long time scale of nearly 4 h is similar 523 between 55 m and the underlying heights. 524

525 4.5 The near-neutral sublayer

The thinner the layer between the surface and height z, the smaller $\overline{V}(z)$ that 526 is needed to mix the layer to a nearly uniform potential temperature. Therefore, 527 $\bar{V}(z)$ adjacent to the surface can easily exceed $\bar{V}_{s}(z)$ from downward momentum 528 transfer. We find that $|\Delta \bar{\theta}(z)|$ at z = 0.5 m is mostly < 1 K even when the surface is 529 strongly heated, implying that the layer near the surface can be maintained at near-530 neutral stratification as a result of turbulent mixing regardless of the turbulence 531 generation mechanism. As a result, $u_*(z)$ at z = 0.5 m increases approximately 532 linearly with $\overline{V}(z)$ throughout the diurnal cycle (Fig. 8). This result suggests that 533 the sublayer of z < O(1) m is on average near-neutral all the time. Although the 534 same conclusion may seem to be reached with MOST as $|z/L| \to 0$ when $z \to 0$, 535 the MOST bulk formula does not perform well at 0.5 m especially under strong 536 winds because the stability functions in the literature are based on observations 537 above 0.5 m, where the atmospheric stability has greater variation (Sect. 5.3). 538

539 4.6 Richardson number

Since both positive buoyancy and shear generate turbulence, the combination of 540 the two may seem to capture both contributions to turbulence generation. How-541 ever, the length scale over which the Richardson number should be calculated is 542 always an issue. Although $u_*(z)$ is clearly related to both $\overline{V}(z)$ and $\Delta \overline{\theta}(z)$ through-543 out the diurnal cycle, $u_*(z)$ is not well correlated with either the bulk Richardson 544 number, $Ri_B(z) = (gz/\bar{\theta}_0)\Delta\bar{\theta}(z)/\bar{V}^2(z)$, or Ri for the entire range of stabilities 545 (Fig. 12). From the above analyses, the size of the dominant turbulent eddies near 546 the surface is, in general, δz , which is $\approx z$ under neutral and unstable conditions, 547 and is < z under stable conditions except very near the surface (Sect. 4.5). Under 548 stable conditions, the shear for generation of these turbulent eddies is $\delta V(z)/\delta z$. 549 which approaches $\partial \bar{V}(z)/\partial z$ only when $\delta z \to 0$. Because $\bar{V}(z)/z$ is only responsi-550 ble for the near-neutral turbulent mixing while its corresponding $\Delta \bar{\theta}(z)$ is strongly 551 controlled by the strong turbulent mixing such as at night, Ri_B can only capture 552 the variation of $u_*(z)$ under near-neutral conditions such as the windy cases as well 553 as near the surface (Fig. 12). The small Ri values under strong mixing conditions 554 reflect only the small $\partial \theta(z)/\partial z$ resulting from strong mixing while $\partial \bar{V}(z)/\partial z$ can 555 sometimes be invariant with z (e.g., Banta et al., 2003; Banta, 2008). In a stably 556 stratified flow, because δz can be any value between zero and z, and turbulent 557

eddies at z do not reach the surface, neither Ri_B nor Ri captures the variation of $u_*(z)$ for the entire range of observed stabilities (Fig. 12). Similar conclusions on the performance of Ri were reached by Caulfield and Kerswell (2001). The above analysis suggests that a robust relationship between turbulence intensity and any stability parameter for a range of stability conditions requires the stability paramter to capture the turbulence generation mechanism over the stability range, i.e., it has to be calculated on the scale of turbulence generation.

565 5 Vertical variation of the relationships between turbulence and mean 566 variables in comparison with MOST

Based on the CASES-99 observations, we further explore how external factors 567 such as surface roughness, surface heating/cooling reflected in R_{net} , and horizon-568 tal pressure gradients reflected in V(z), affect the observed relationships between 569 $u_*(z), \theta_*(z), V(z)$, and $\Delta \theta(z)$ throughout the diurnal cycle. Here we ignore the 570 small fraction of top-down turbulent mixing cases presented in S12, and focus on 571 turbulent mixing generated near the surface. We investigate how $u_*(z)$ and $\theta_*(z)$ 572 vary through simplified expressions based on the observations described in Sect. 573 4. We emphasize that these simple expressions only provide a basis for our investi-574 gation, and should not be used as new bulk formulae for parametrizing turbulence 575 until more observational studies over different surfaces and weather conditions are 576 made. 577

578 5.1 Vertical variation of $u_*(z)$

⁵⁷⁹ When turbulence is generated by strong shear, i.e., $\bar{V}(z) > \bar{V}_s(z)$, $u_*(z)$ can be ⁵⁸⁰ approximately expressed as

$$\iota_*(z) = \alpha(z)V(z) + \beta(z), \tag{12}$$

which is schematically shown in Fig. 13a. Under unstable conditions when the thermally-generated turbulence enhances $u_*(z)$ from its near-neutral $u_*(z) - \bar{V}(z)$ relationship, the enhancement mainly occurs under weak winds, thus Eq. 12 is approximately valid for daytime mixing as well. The influence of the surface mechanical effect on $u_*(z)$ can be isolated from the surface thermal effect under neutral conditions when strong turbulent mixing eliminates the atmospheric stratification or when $R_{net} \approx 0$.

To avoid complications associated with various empirically developed stability functions for the MOST bulk formulae in the literature, we first focus on comparing the observed $u_*(z)$ expressed in Eq. 12 with the formulated $u_*(z)$ based on MOST in Eq. 6 under the neutral condition. The observed $\alpha(z)$ near the surface under the neutral condition, i.e., when $\bar{V}(z)$ is large, is $\alpha_n(z) \approx u_*(z)/\bar{V}(z)$. The equivalent term in the MOST bulk formula for $u_*(z)$ under neutral conditions is

$$\alpha_n^{MOST}(z) = \frac{\kappa}{\ln(z/z_m)},\tag{13}$$

⁵⁹⁴ and the equivalent $\beta(z)$ in MOST is

$$\beta_n^{MOST} = 0. \tag{14}$$

Using observations from the 60-m tower, we find that $\alpha(z)$ under neutral con-595 dition (i.e., $R_{net} \approx 0$), $\alpha_n(z)$, decreases sharply with height below 5-10 m, and 596 remains nearly constant with height above (Fig. 13b). The decrease of $\alpha_n(z)$ with 597 height near the surface indicates the reduced direct influence of the surface on tur-598 bulent momentum transfer with possible small influence of the atmospheric strat-599 ification because $\Delta \theta(z)$ under strong wind conditions is only significant at large 600 heights. Comparison between the observed $\alpha_n(z)$ and $\alpha_n^{MOST}(z)$ indicates that the two approximately agree below 10 m, and $\alpha_n^{MOST}(z)$ is consistently smaller than $\alpha_n(z)$ above 10 m. Characteristic structure in the state of the structure in th 601 602 than $\alpha_n(z)$ above 10 m. Changing z_m only shifts agreement between $\alpha_n^{MOST}(z)$ 603 and $\alpha_n(z)$ from one level to another (more in Sect. 5.3). The difference between the observed $\alpha_n(z)$ and $\alpha_n^{MOST}(z)$ could also be related to the non-constant $u_*(z)$ 604 605 with height even near the surface, which is observed by Sun et al. (2013). 606

Unlike $\alpha_n(z)$, the observed $\beta_n(z)$ decreases monotonically with z from its zero value at the surface, while β_n^{MOST} is zero regardless of z (Fig. 13c). The observed 607 608 negative $\beta_n(z)$ reflects the required increasing shear for the increasing depth of the 609 air layer to generate strong turbulent mixing for reducing the atmospheric strati-610 fication to near zero. The observed non-zero $\beta_n(z)$ further suggests that turbulent 611 mixing within the layer between the surface and height z needs to be considered for 612 determining turbulent intensity at z, which is excluded in the MOST bulk formula 613 (more in Sect. 5.3). The difference between $\alpha_n^{MOST}(z)$ and the observed $\alpha_n(z)$ cannot compensate for the zero β_n^{MOST} because $\alpha(z)$ and $\beta(z)$ describe different 614 615 characteristics of $u_*(z)$ variations with $\overline{V}(z)$: $\alpha(z)$ is the rate of the $u_*(z)$ gen-616 eration dominated by shear, and $\beta(z)$ is associated with $\bar{V}_s(z)$, which represents 617 the required minimum $\overline{V}(z)$ for shear-generated turbulent mixing within the layer 618 between height z and the surface that is strong enough to eliminate the influence 619 of the atmospheric stratification on turbulence mixing. 620

We now investigate how the surface thermal condition affects $u_*(z)$ when 621 $R_{net} \neq 0$. When $R_{net} \neq 0$, $\alpha(z)$ does not deviate significantly from its neutral 622 value for $z \leq 1.5$ m, which confirms the existence of the near-neutral sublayer 623 discussed in Sect. 4.5. At night when $R_{net} < 0$, the larger $\alpha(z)$ compared to its 624 neutral value reflects the small monotonically decreasing stratification with in-625 creasing $\bar{V}(z)$ even when $\bar{V}(z)$ exceeds $\bar{V}_s(z)$. The relatively constant $\alpha(z)$ for $z \geq 1$ 626 20 m for stable conditions suggests that the atmospheric stratification for $z \ge 20$ 627 m does not change significantly during CASES-99. The increasing negative $\beta(z)$ 628 for the stable case compared with its neutral value at a given z reflects the re-629 quired increasing shear for overcoming the increasing $\Delta \bar{\theta}(z)$ with height to reach 630 the strong mixing regime. 631

⁶³² During daytime when $R_{net} > 0$, $\alpha(z)$ is smaller than its neutral value, and de-⁶³³ creases with height while $\beta(z)$ becomes slightly positive and increases slightly with ⁶³⁴ height (Fig. 13c). The relatively small deviations of $\alpha(z)$ and $\beta(z)$ from their neu-⁶³⁵ tral values compared to their nighttime deviations indicate that the contribution ⁶³⁶ of thermally-generated turbulence to momentum transfer in the convective surface ⁶³⁷ layer does not affect the $u_*(z) - \overline{V}(z)$, $u_*(z) - \overline{V}(z)$ relationship significantly even ⁶³⁸ though the absolute value of R_{net} is much larger during daytime than at night.

⁶³⁹ We now discuss nighttime weak turbulent mixing under stable conditions when ⁶⁴⁰ $\bar{V}(z) < \bar{V}_s(z)$. The observations in Figs. 4a and 4b indicate that unless turbulence ⁶⁴¹ at any height z is dominated by the strong top-down turbulent transport, $u_*(z)$ ⁶⁴² at a given z is normally in the stable regime below $u_{*s}(z)\bar{V}(z)/\bar{V}_s(z)$, which is ⁶⁴³ schematically outlined by the dashed lines in Fig. 13a. In general, $u_*(z)$ in the

outlined stable regime decreases with increasing $\Delta \bar{\theta}(z)$, and becomes smaller with 644 increasing height due to the decoupling of turbulence between z and the surface. 645 Because statistically $\bar{V}(z) > \bar{V}_s(z)$ occurs less frequently with increasing height, 646 the percentage of $u_*(z)$ in the stable regime increases with height. The turbulence 647 in the stable regime is generated by $\delta V(z)/\delta z$ as well as directional shear; the 648 length scale δz for shear generation of turbulence varies between zero and z, and 649 may be smaller than the vertical resolution of observations. We cannot predict 650 $u_*(z)$ in this regime well unless we understand the variation of δz . In addition, 651 part of the shear-generated turbulence energy is used to increase TPE, which 652 depends on the magnitude of the vertical temperature gradient, which in turn 653 depends on the history of turbulent events in transporting the cold air from the 654 surface to higher levels at night and the efficiency of surface cooling. Understanding 655 the physical processes that lead to δz for turbulence generation and temporal 656 variations of TKE and TPE in the interior of the atmosphere away from the 657 surface are difficult, and more investigations are needed. 658

From the above analyses, the HOST of any TKE-related turbulent variable 659 across the transition between the stable and near-neutral regimes at a height in-660 fluenced by any surface type should have a similar pattern as the observed one 661 here. However, the shape of the HOST depends on night ime variations of $\alpha(z)$ and 662 $\beta(z)$, where $\alpha(z)$ is influenced by both z_m and R_{net} , which in turn are influenced 663 by surface properties, and $\beta(z)$ is related to $\bar{V}_s(z)$, which is related to the depth 664 of the mixing layer as well as surface properties. When z_m over complex terrain 665 is wind-direction dependent, $\alpha(z)$ can vary with wind direction; thus using wind 666 from all directions may smear out the dramatic transition of any TKE-related 667 variable between the stable and the near-neutral regimes as a function of V(z). 668 In addition, if the atmospheric stratification is enhanced by local circulations in 669 complex terrain, such as strong downslope winds associated with adiabatic warm-670 ing, the HOST transition of any TKE-related variable may not be as well-defined 671 as for level terrain. 672

673 5.2 Vertical variation of $\theta_*(z)$

As demonstrated in Sect. 4.3, $\theta_*(z)$, is approximately linearly related to the atmospheric stratification, $\Delta \bar{\theta}(z)$, for the convective and near-neutral surface layer, i.e., when $\Delta \bar{\theta}(z) \leq 0$ or $\Delta \bar{\theta}(z) > 0$ and $\bar{V}(z) > \bar{V}_s(z)$, which can be approximately expressed as

$$\theta_*(z) = \gamma(z) \Delta \bar{\theta}(z), \tag{15}$$

where $\gamma(z)$ is a coefficient that varies with z. Since Eq. 15 is valid when the surface layer approaches neutral as well as under unstable conditions as shown in Figs. 6b and 7c, the observed $\gamma(z)$ can be compared with the MOST bulk formula under neutral conditions,

$$\gamma_n^{MOST}(z) = \frac{\kappa}{\ln(z/z_h)}.$$
(16)

The observations in Fig. 13d show that $\gamma(z)$ decreases sharply with height near the surface and remains nearly constant above about 20 m, approaching the lower part of the mixed layer. Similar to the observed $\gamma(z)$, $\gamma_n^{MOST}(z)$ decreases with height, but the sharp decrease ceases around 10 m, and remains nearly constant for z > 10 m, which is different from the steady decrease of the observed $\gamma(z)$. As with z_m , changing z_h can only improve the fit of $\gamma_n^{MOST}(z)$ from one level to another near the surface. The non-constant $\theta_*(z)$ observed in Fig. 7d may also contribute to the discrepancy between the observed $\gamma(z)$ and $\gamma_n^{MOST}(z)$.

At night, the observations in Fig. 7a indicate that $\theta_*(z)$ varies nearly linearly 690 with $\bar{V}(z)/\bar{V}_s(z)$ close to the surface. As z increases, $\theta_*(z)$ is near zero for weak 691 $\overline{V}(z)/\overline{V}_s(z)$ and increases sharply with $\overline{V}(z)/\overline{V}_s(z)$ when $\overline{V}(z)/\overline{V}_s(z)$ approaches 692 one. We find that when $\bar{V}(z) \leq \bar{V}_s(z)$, for example, at $\bar{V}(z)/\bar{V}_s(z) = 0.125$, $\theta_*(z)$ 693 decreases steadily with height as does $\theta_*^{max}(z)$ (Fig. 13e). However the variation of 694 $\theta_*(z)$ for a given $V(z)/V_s(z)$ at a given height is relatively large compared with that 695 for $u_*(z)$ especially at large heights as the temperature variance depends on the 696 history of turbulent heat transfer; i.e., the depletion of the cold air near the surface. 697 Because $\Delta \bar{\theta}(z)$ is an internal parameter influenced by the external forcings of wind 698 and variable surface temperature, which can be affected by surface properties such 699 as soil type and moisture, and vegetation cover, as well as downward radiation, 700 701 $\gamma(z)$ can be surface-dependent.

702 5.3 Limitations of MOST

The MOST bulk formulae are commonly believed to be valid near the surface, but 703 the exact depth of the layer where MOST is valid, i.e., the MOST layer, is not 704 clear in the literature, and is commonly assumed to be the bottom 10% of the 705 ABL. Because of the existence of the near-neutral sublayer described in Sect. 4.5, 706 to capture a range of stability conditions, Φ_m and Φ_h have to be estimated using 707 observed $\partial V(z)/\partial z$ and $\partial \theta(z)/\partial z$ at a height not too close to the surface even 708 though MOST correctly describes the neutral stratification as $z/L \rightarrow 0$ at $z \rightarrow$ 709 0. As the observed $\gamma(z)$, which is valid for both neutral and unstable conditions, 710 and $\alpha_n(z)$ vary with height near the surface, determination of z_m and z_h based 711 on Eqs. 12 and 16, respectively, may be observation-height dependent even when 712 the turbulent mixing at height z is fully coupled to the surface. 713

Comparison between the observed $\partial \bar{V}(z)/\partial z$ and $\bar{V}(z)/z$ indicates that the 714 two are linearly related near the surface, and both decrease with height for all sta-715 bilities (Fig. 14); however because the bulk shear $\overline{V}(z)/z$ decreases gradually with 716 height, $\overline{V}(z)/z > \partial \overline{V}(z)/\partial z$ unless the level z is above the near-neutral sublayer 717 and wind speed is small such that the atmospheric stability at z is significant. 718 Turbulent mixing in response to the larger shear between $\bar{V}(z)/z$ and $\partial \bar{V}(z)/\partial z$ is 719 demonstrated in S12. The lack of dependence of the night ime V_{TKE} on $\partial \bar{V}(z)/\partial z$ 720 for z > 5 m in S12 clearly suggests that the variation of $\partial \overline{V}(z)/\partial z$ is not the driv-721 ing factor for turbulence generation, but a consequence of momentum transfer by 722 large eddies constrained by the turbulence energy balance within the layer between 723 height z and the surface. 724

Using local vertical gradients of mean variables, such as $\partial \bar{V}(z)/\partial z$, which is affected by the surface, to capture turbulence variables such as $u_*(z)$ over a finite vertical scale, δz , relies on the establishment of an approximate relationship between $\partial \bar{V}(z)/\partial z$ and $\delta \bar{V}(z)/\delta z$, which inevitably is height-dependent. As a result, the performance of the relationship between $u_*(z)$ and $\partial \bar{V}(z)/\partial z$ deteriorates when the relationship is applied at a height that deviates from the height where the approximate relationship between $\partial \bar{V}(z)/\partial z$ and $\delta \bar{V}(z)/\delta z$ is established. Ap⁷³² plying the MOST bulk formulae above 10 m under all stability conditions would ⁷³³ lead to systematic underestimation of $u_*(z)$ and $\theta_*(z)$ mainly due to the underes-⁷³⁴ timation of $\alpha_n(z)$ and $\gamma(z)$ by applying $\alpha_n^{MOST}(z)$ and $\gamma_n^{MOST}(z)$ (Fig. 15), for ⁷³⁵ which stability functions can only modify turbulence intensity from their neutral ⁷³⁶ values at a given height, and cannot correct for any systematic biases of turbu-⁷³⁷ lence variations with height under the neutral condition. The assumed constancy ⁷³⁸ of $u_*(z)$ and $\theta_*(z)$ in MOST partly contributes to the underestimation of $\alpha_n(z)$ ⁷³⁹ and $\gamma(z)$.

At a height of 0.5 m, the MOST bulk formula systematically overestimates u_* 740 for large u_* even though $\alpha_n^{MOST}(z)$ and $\beta_n^{MOST}(z)$ agree reasonably well with the 741 observed $\alpha_n(z)$ and $\beta_n(z)$. The overestimate under strong winds is likely due to 742 the application of the stability functions developed above the near-neutral sublayer 743 to the near-neutral sublayer at 0.5 m. Comparison between the estimated and 744 observed $u_*(z)$ and $\theta_*(z)$ with the stability functions described in Beljaars and 745 Holtslag (1991) (Fig. 15) suggests that the MOST layer is approximately below 746 10 m and above O(1) m throughout the diurnal cycle. 747

The relatively poor performance of the MOST bulk formula for daytime $\theta_*(z)$ 748 even in the MOST layer compared with that for $u_*(z)$ is due to the relatively large departure between the observed $\gamma(z)$ and $\gamma_n^{MOST}(z)$. Fundamentally $\bar{V}(z)$ 749 750 and $\bar{\theta}(z)$ play different roles in mechanical and thermal generation of turbulence. 751 Shear-generated u_* is directly associated with the vertical variation of $\bar{V}(z)$ and 752 the momentum sink at the surface, and $\overline{V}(z)$ is forced by horizontal pressure gra-753 dients. In contrast, $\theta_*(z)$ is related to $\Delta \bar{\theta}(z)$ only under unstable and near-neutral 754 conditions, and $\Delta \bar{\theta}(z)$ is an internal parameter dependent on heat transfer by 755 thermally- or mechanically-generated turbulent mixing. Thus, the observed $\alpha_n(z)$ 756 varies approximately logarithmically with height while $\gamma(z)$ decreases with height 757 at a rate less than the logarithmic decrease predicted by $\gamma_n^{MOST}(z)$. Therefore, 758 the relationships between $\Delta \bar{\theta}(z)$ and $\theta_*(z)$ and between $\bar{V}(z)$ and $u_*(z)$ are not 759 similar for all stabilities as assumed in MOST. 760

The fundamental issues that limit the validity of MOST in a shallow layer are, 761 1) its inability to capture the generation of large non-local turbulent eddies by 762 relating turbulence intensity to local vertical gradients, and 2) the neglect of inter-763 actions between turbulent mixing and the vertical potential temperature gradient 764 when the vertical potential temperature gradient depends on turbulent mixing. 765 The former issue goes beyond MOST. The assumption that the magnitude of the 766 turbulent momentum transfer is related to $\partial V(z)/\partial z$ when large eddies are actu-767 ally generated by V(z)/z leads to, for example, counter-gradient fluxes (Garratt, 768 (1992) or negative viscosity (Starr, 1968) when $\bar{V}(z)/z$ and $\partial \bar{V}(z)/\partial z$ have differ-769 ent signs. In other words, counter-gradient fluxes represent turbulent transport by 770 large eddies when the vertical difference of mean quantity over the deep layer has 771 an opposite sign from its local gradient at z. The importance of counter-gradient 772 fluxes in the ABL especially under convective conditions has been recognized in 773 the literature for decades. Fundamentally, the validity of the Boussinesq hypothesis 774 requires that the turbulent mixing length is small compared to the scale of vertical 775 variations of mean variables (Tennekes and Lumley, 1972; Schmitt, 2007). Viola-776 tion of the assumed similarity between molecular diffusion and turbulent mixing 777 has been investigated by Hamba (2005) and Sanderse et al. (2011) especially for 778

⁷⁷⁹ turbulent mixing near a wall under near-neutral conditions.

780 6 Summary

We extend the work of S12 on the observed nightime turbulence transition with 781 V(z) and further investigate turbulent mixing in the CASES-99 60-m atmospheric 782 layer above the surface by examining the diurnal variation of $u_*(z)$ and $\theta_*(z)$. 783 Based on the data analyses, we propose the HOST hypothesis to generalize the 784 explanation for the observed diurnal variation of $u_*(z)$ and $\theta_*(z)$: the magnitude 785 of a TKE-related variable is dominated by large coherent eddies of finite verti-786 cal scale δz determined by the turbulence energy generation, such as by positive 787 buoyancy or shear, and the turbulence energy partition between TKE and TPE 788 within the layer of depth δz (Fig. 1). Because of the connection between TKE 789 and TPE through buoyancy fluxes, thermally-generated turbulence from positive 790 surface buoyancy enhances not only TPE but also TKE; mechanically-generated 791 turbulence from shear enhances not only TKE but also TPE and the partition 792 between the two varies with the diurnal variation of the surface heating/cooling. 793 The HOST hypothesis explains the observed transition of a TKE-related vari-794 able, such as V_{TKE} , σ_w , or $u_*(z)$, from their stable to near-neutral regimes at 795 night, the stronger dependence of $\theta_*(z)$ on wind speed rather than $\Delta \bar{\theta}(z)$ at night, 796 the dependence of daytime $\theta_*(z)$ on $\Delta \theta(z)$, and the enhancement of $u_*(z)$ by 797 thermally-generated turbulent mixing during daytime. 798

The analyses suggest that the limitations of the MOST bulk formulae result 799 from their not including turbulent eddies of finite sizes by using local vertical 800 gradients of mean variables especially under strong mixing conditions such as 801 near-neutral and unstable conditions, and the lack of dynamic coupling between 802 TKE and TPE. The assumptions of the relationship between the magnitude of 803 turbulence and local vertical gradients, such as $\partial \overline{V}(z)/\partial z$ and $\partial \overline{\theta}(z)/\partial z$, and the 804 analogy between wind speed and temperature in their relationships with $u_*(z)$ 805 and $\theta_*(z)$ for all stabilities in MOST are of limited validity, and the MOST bulk 806 formulae are applicable only within a thin layer (Fig. 1). During CASES-99, the 807 MOST layer is approximately between O(1) m and 10 m. 808

While the HOST hypothesis emphasizes the contribution of large coherent eddies and the need for considering the coupling between the turbulence kinetic and potential energies in the turbulence generation layer for capturing variations of turbulence intensity, the HOST hypothesis does not invalidate the energy and heat balance at a point in space. However, this balance can be a consequence of turbulent transport by large coherent eddies.

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Fig. 1 Schematic illustration showing the thin layer where MOST is valid (between the two thick dashed lines), and the characteristic sizes of turbulent eddies described in the HOST hypothesis. Turbulent eddies in purple and blue are generated by shear $\delta \bar{V}(z)/\delta z$ for $\delta z = z$ and $\delta z < z$, respectively. The thick and thin blue eddies represent the situations when turbulent eddies are attached to the surface but the shallow turbulence layer is below height z, and when turbulent eddies are generated by elevated shear above the surface; in both situations, turbulent eddies at z do not reach the surface. Turbulent eddies in red represent those generated by positive buoyancy from heated surface.



Fig. 2 Relationship between the 5-min Ri and (a) Φ_m , and (b) Φ_h at z = 5 m, where the blue and green dots are values of observed and randomly-generated $\partial \bar{\theta} / \partial z$ in the calculations, respectively. The red dots are calculated using the stability functions from Beljaars and Holtslag (1991).



Fig. 3 Observed relationship between the net radiation (R_{net}) and the vertical potential temperature difference as a function of different wind-speed ranges for three layers: (a) between 0.63 m and 0.23 m, (b) between 58 m and 0.23 m, and (c) between 58 m and 5.9 m. Each symbol represents a 5-min average. The nighttime data are characterized by negative R_{net} .



Fig. 4 Composite nighttime relationship between $\bar{V}(z)$ and $u_*(z)$ as a function of $\Delta \bar{\theta}(z)$ at, (a) 5 m, and (b) 10 m based on the 5-min dataset. Each wind speed bin of 0.5 m s⁻¹ has at least five points. The black dashed line in (a) and (b) is the average of all the nighttime data, i.e., the HOST for $u_*(z)$. The red vertical line extending from top to bottom in (a) and (b) represents the threshold wind for the height identified by S12. $\Delta \bar{\theta}(z)$ for $\bar{V}(z) > \bar{V}_s(z)$ is < 1 K at 5 m and < 2 K at 10 m. The three $\bar{\theta}(z)$ profiles in (d) correspond to the three wind-speed profiles in (c) in the same colours. The temperature scale for the red $\bar{\theta}(z)$ profile in (d) is on the top, and for the green and blue profiles are on the bottom. The threshold wind speed $\bar{V}_s(z)$ as a function of height is shown in (e).



Fig. 5 (a) Nighttime and (b) daytime fractions of the 5-min observations as functions of wind speed $\bar{V}(z)$ within each 1 m s⁻¹ bin for daytime and each 0.5 m s⁻¹ bin for nighttime at the nine sonic anemometer heights, where the threshold wind $\bar{V}_s(z)$ at each level is marked with a triangle of the same colour. In addition, $\bar{V}(z)$ at which the nighttime maximum downward $\overline{w'\theta'}(z)$ occurs, $\bar{V}_{maxH}(z)$, is marked with a circle of the same colour in (a). Because $\bar{V}_s(z)$ marks the transition between stable and near-neutral conditions, it is used only as a reference in (b).



Fig. 6 The observed relationship at 5 m, (a) between $\theta_*(z)$ and $\bar{V}(z)/\bar{V}_s(z)$ at night, and (b) between $\theta_*(z)$ and the bulk potential temperature difference, $\Delta \bar{\theta}(z)$, throughout the diurnal cycle, where the inset is the enlarged nighttime relationship.



Fig. 7 Composite nighttime (a) $\theta_*(z)$ and (b) heat fluxes $\overline{w'\theta'}(z) = -u_*(z)\theta_*(z)$ as functions of $\overline{V}(z)/\overline{V_s}(z)$. (c) Composite $\theta_*(z)$ as a function of the bulk temperature difference $\Delta\overline{\theta}(z)$ for the daytime ($\Delta\overline{\theta}(z) \leq 0$) and the near-neutral at night ($\Delta\overline{\theta}(z) > 0$ and $\overline{V}(z) > \overline{V_s}(z)$) (solid lines), and for the nighttime stable condition ($\Delta\overline{\theta}(z) > 0$ and $\overline{V}(z) \leq \overline{V_s}(z)$) (dot-dashed lines). (d) The composite profiles of $\theta_*(z)$ and $\overline{\theta}(z) - \langle \overline{\theta}(z) \rangle$ for $-0.5 \text{ K} < \Delta\overline{\theta}(z) < 0 \text{ K}$, where $< \overline{\theta}(z) >$ is the vertically averaged $\overline{\theta}(z)$. The removal of the vertically averaged $\overline{\theta}(z)$ results in easy comparison between the vertical variations of $\theta_*(z)$ and $\overline{\theta}(z)$.



Fig. 8 Composite daytime relationships between $u_*(z)$ and $\bar{V}(z)$ compared to their averaged nighttime relationship from the entire CASES-99 30-min dataset at nine observation heights. The daytime relationship is further subdivided into three ranges of the bulk temperature difference $\Delta \bar{\theta}(z) = \bar{\theta}(z) - \bar{\theta}_0$ in K. The thin vertical lines represent the standard deviations within each 4 m s⁻¹ $\bar{V}(z)$ bin.



Fig. 9 Variations of $u_*(z)$ with net radiation R_{net} for different wind-speed ranges in m s⁻¹ at the labelled observation heights.



Fig. 10 Normalized vertical velocity w power spectra $S_w(z), 2\pi f S_w(z)/\sigma_w^2(z)$, as functions of normalized frequency $2\pi f z/\bar{V}(z)$ at eight observation heights for three stability conditions represented by the Obukhov length (L = -3.9 m from 1600-2000 UTC on 10 October, L = -1561 m from 0400-0800 UTC on 17 October, and L = 4.4 m from 0000-0400 UTC on 5 October, where $\sigma_w(z)$ is the standard deviation of w. The spectra are calculated using the data recorded at 20 samples s^{-1} at all observation heights except at 20 m in (c) due to sonic anemometer problems. (b) and (c) are selected for $\bar{V}(z) > \bar{V}_s(z)$ and $\bar{V}(z) < \bar{V}_s(z)$, respectively. The vertical dashed line marks $2\pi f z/\bar{V}(z) = 2$ where $2\pi f S_w(z)/\sigma_w^2(z)$ reaches its maximum in (b).



Fig. 11 Vertical coherences of vertical velocity w (coh_w , top row), wind speed V (coh_V , middle row), and potential temperature θ (coh_{θ} , bottom row) between 55 m and the successive underlying sonic anemometer heights as functions of wavenumber (k) at the underlying-height for the same three stability cases in Fig. 10, where the Obukhov length is labelled at the top of each column.



Fig. 12 Daytime and nighttime 30-min $u_*(z)$ values as a function of the bulk Richardson number Ri_B and the local gradient Richardson number Ri at the nine observation heights.



Fig. 13 The observed (b) $\alpha(z)$ and (c) $\beta(z)$ used in the relationship $u_*(z) = \alpha(z)\overline{V}(z) + \beta(z)$ (schematically illustrated in (a)) for various R_{net} values; (d) the observed $\gamma(z)$ in describing the daytime and near-neutral $\theta_*(z) = \gamma(z)\Delta\overline{\theta}(z)$, and (e) the observed $\theta_*(z)$ at $\overline{V}(z)/\overline{V}_s(z) =$ 0.125, and the maximum $\theta_*(z)$ under stable conditions, $\theta_*^{max}(z)$. In (b), (c), and (d) $\alpha(z)$, $\beta(z)$, and $\gamma(z)$ for the MOST bulk formulae under the neutral condition, $\alpha_N^{MOST}(z)$, β_N^{MOST} , and $\gamma_N^{MOST}(z)$, are plotted in black for comparison with the observed neutral values (red in b and c, black circles in d).



Fig. 14 Relationships between the bulk shear $(\bar{V}(z)/z)$ and the local shear $(\partial |\bar{\mathbf{V}}(z)|/\partial z)$ at eight observation heights for three different Obukhov lengths, *L*. Here $\mathbf{V}(\mathbf{z})$ is the wind vector.



Fig. 15 Comparison between the 30-min observed vs. the calculated daytime and nighttime $u_*(z)$ (left column) and $\theta_*(z)$ (right column) at the selected sonic anemometer heights using the MOST bulk formulae and the stability functions described in Beljaars and Holtslag (1991). The daytime $u_*(z)$ is changed to negative so that the daytime and nighttime $u_*(z)$ can be displayed on one plot. The red lines represent the 1:1 comparison. Here $z_h = 2z_m = 0.1$ m is used in the MOST bulk formula to obtain good agreement with observed $u_*(z)$ below 10 m.